



UCLA | SCHOOL OF LAW

Jennifer L. Mnookin

Dean and David G. Price and Dallas P. Price Professor of Law

Faculty Co-Director, PULSE @ UCLA Law (Program on Understanding Law, Science & Evidence)

February 3, 2017

Professor Ann E. Carlson
UCLA School of Law

Dear Ann:

You are an extremely valuable colleague and member of our community and I appreciate the dedicated service you have given to UCLA School of Law. You are an absolutely first-rate scholar, an incredible teacher, and have brought significant vision to this institution in so many ways, from your remarkable leadership of the Emmett Institute to your many other forms of internal leadership. I recognize that you also get regular outreach from other schools for consideration for faculty and deanship opportunities. I am pleased to extend the following terms as part of a retention agreement with you.

1. Retention Ninth

You will receive a retention ninth for five years, beginning in summer 2017 and ending in summer 2021. If for some reason your other summer compensation – e.g. summer payments for your service on CAP, or for grant work or similar projects – were to bring you to the 3/9ths limit before a retention ninth could be disbursed, our office will carry forward the retention ninth to a subsequent summer so that you will receive the full five ninths in due course.

2. Faculty Support Account

I will raise your faculty support account to \$8,000/year for five years beginning in academic year 2017-18. You will continue to be able to carry forward up to one year's worth of unused funds for use in the year immediately following.

3. Your Term Commitment to UCLA

In consideration of the foregoing, you will commit not to accept a permanent offer at another school for a period of five years—i.e., from July 1, 2017 through June 30, 2022. Of course, needless to say, I hope you will stay here at UCLA for much longer than that!

Let me close by emphasizing, Ann, how much I appreciate the ways that you contribute to our institution. I am confident that many more productive and happy years lie ahead for you here at UCLA Law. Should you have questions about any of the terms set forth in this letter, please feel free to contact me.

Sincerely,

Jennifer L. Mnookin

Dean and David G. Price and Dallas P. Price

Professor of Law

Agreed to:

Professor Ann E. Carlson

**REPORT OF CATEGORY I AND II OUTSIDE ACTIVITIES
AND ADDITIONAL TEACHING ACTIVITIES
FOR THE FISCAL YEAR ENDING JUNE 30, 2016
EXPLANATIONS FOR INFORMATION REQUESTED**

Academic/Fiscal-Year Faculty Appointment: Enter whether you held an academic- or fiscal-year appointment. An *Academic-Year Appointment* is an appointment in which the faculty member renders services to the University during the academic year, from the beginning of the fall term through the end of the spring term (APM - 600-4-c), or the equivalent of an academic year should the campus operate year-round. A *Fiscal-Year Appointment* is an appointment in which the faculty member renders service to the University throughout the calendar year as opposed to the academic year (APM - 600-4-f). Fiscal-year faculty accrue vacation time in accordance with APM - 730.

Terms of Leave, if any: A faculty member may be permitted to go on full- or part-time leave in order to pursue certain compensated outside professional activities. If you were on such leave during any part of the pertinent fiscal year, provide information here about the percentage of time and inclusive months.

Category: For each activity, enter I, II or AT (for additional teaching as defined in APM - 662).

Category I activities include: teaching, research, or administration of a grant at an educational institution, trust, organization, government agency, or foundation outside of the University; employment outside the University; assuming a founding/co-founding role of a company; assuming an executive or managerial position outside of the University. You must receive prior approval to engage in Category I activities, which always count toward the 39/48-day limit and must be reported annually.

Category II activities include: additional University-compensated teaching, including teaching in accordance with APM - 662, Additional Compensation: Additional Teaching, for UNEX courses and programs, other continuing education programs run by the University, and self-supporting UC degree programs if the teaching is outside the normal teaching load; consulting or testifying as an expert or professional witness; providing outside consulting services or referrals or engaging in professional practice as an individual or through a single-member professional corporation or sole proprietorship; serving on the board of directors outside of the University; providing a workshop for industry; providing outside consulting or compensated professional activities performed for entities such as the Los Alamos and Lawrence Livermore National Laboratories. Category II activities are counted within the 39/48-day limit and must be reported annually.

Number of Days: A full-time faculty member on an academic-year appointment normally may engage in outside professional activities for up to 39 days from the start of the fall term through the end of the spring term (including intersession). There are no restrictions on the number of days of outside professional activity for academic-year faculty during the summer months unless an academic-year faculty member is receiving University compensation for the summer. If an academic-year faculty member is receiving University summer compensation, then the applicable limit on outside professional activities is the equivalent of one day per week during the period in which compensation is received (unless a funding agency specifies otherwise). A fiscal-year faculty member is permitted a maximum of 48 days per fiscal year.

Enter the approximate number of days you worked on this activity during your period of active service to the University. For outside professional activities, a *Day* is defined using common sense and customary practice. This definition may vary by campus and/or discipline. For additional teaching activities, the general rule is that every six contact or “podium” hours spent with students equals one day. See APM - 662 for details.

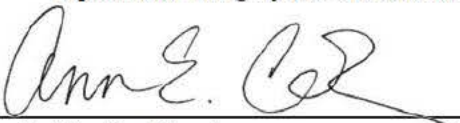
Nature of Relationship: Use one or more of the following terms to describe your relationship to the entity identified in the last column: Founder/co-founder, owner, board member, consultant, recipient of equity or royalty interest, stockholder or partnership interest, salaried employee, or other (explain).

**ANNUAL REPORTING FORM
 FOR CATEGORY I & II OUTSIDE ACTIVITIES AND ADDITIONAL TEACHING ACTIVITIES
 REPORTING PERIOD: 7/1/15 THROUGH 6/30/16**

Instructions: In accordance with APM - 025, this form must be completed, signed, and submitted to the department chair each year by all eligible faculty for the time your academic-year or fiscal-year appointment was effective during the identified fiscal year. See Explanations (Appendix B) for further guidance. If you engaged in no Category I and Category II outside activities during the identified fiscal year, and did not perform additional teaching as defined in APM - 662 (i.e. teaching in UNEX courses or programs, other continuing education programs run by the University, or self-supporting UC degree programs), write "NONE" across the grid below and check the box below. Attach additional sheets if necessary.

Name (Print): Ann Carlson	Academic Title: Professor of Law	Department: School of Law
Appointment: <input checked="" type="checkbox"/> Academic-year or <input type="checkbox"/> Fiscal-year	Terms of leave, if any: none	College/School:

Category I or II	Number of Days	Leave Without Pay Y/N (When?)	Description of Activity	Nature of Relationship	General Description of Business/Agency/Organization/Group/Person
II	1	N	board service for Foundation for Taxpayer and Consumer Rights	board member	non-profit consumer advocacy group
II	4-5	N	Los Angeles Waterkeeper board service, litigation committee	board member	non-profit environmental group
II	4-5	N	Environmental Law Institute board service, governance committee	board member	non-profit environmental group
<i>Total # Days</i>					

<input type="checkbox"/> I did not engage in Category I or II activities during the reporting period.	
I certify that I have complied with the provisions of APM - 025 and have reported all Category I and II activities as required by policy.	
	12/14/2020
Faculty Member Signature	Date

The department chair's signature affirms the form was received and reviewed. Corrective actions should be implemented for time reports (days) that are above the annual limit and for unapproved Category I activities.	
Department Chair Signature	Date
Dean Signature	Date

N.B.: Information disclosed herein is a public record under the California Public Records Act

Annual Certification 2019 - 2020: Carlson, Ann E. (Dean Reviewer)

Name: Carlson, Ann E.	Department: D0250 Law	School: UCLA
Appointment: Academic-year	Terms of leave, if any:	

ANNUAL CERTIFICATION DETAILS

 **NOTES**

Activity Type(s) / Role(s)	Number of Hours	Leave Without Pay (When?)	Description of Activity	Nature of Relationship	General Description of Business/Agency/Organization/Group/Person
Serving on a board of directors outside the University (Cat. 2)	20.00		board membership, also serve on governance committee		
	# of Reported Hours				Date(s) Worked
	20.00				06/01/2020 / 06/30/2020
Serving on a board of directors outside the University (Cat. 2)	25.00		board service and service on the litigation committee		
	# of Reported Hours				Date(s) Worked
	25.00				06/01/2020 / 06/30/2020
Consulting or testifying as an expert or professional witness (Cat. 2)	15.00		pro bono consulting		
	# of Reported Hours				Date(s) Worked
	15.00				06/01/2020 / 06/30/2020
Total # Hours	60.0				

Alternate Approver(s)

None assigned

Historical Actions

12/07/2020 01:15:58 pm Submit Ann E. Carlson (carlson@law.ucla.edu)

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